

# G.V AND ASSOCIATES

Company Secretaries

No.11A, 1st Floor, (Opp. to Park),  
Collector Sivakumar Street,  
(Opp. Road to Hotel Vijay Paradise), NSR Road,  
Saibaba Colony ) K.K. Pudur, Coimbatore – 641038.  
vasudevanacs@gmail.com, vasu@gvacs.in  
Tel : 0422 - 4216903, 4347063, Mob: 99449 37063.



**G.Vasudevan** B.Com, LL.B, FCS.

## SECRETARIAL COMPLIANCE REPORT OF SALZER ELECTRONICS LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2019

**I, G. Vasudevan, Company Secretary in practice have examined:**

- (a) all the documents and records made available to me and explanation provided by Salzer Electronics Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March 2019 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");
- (c) The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder;

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



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- (c) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (e) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (f) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999;

and circulars / guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.
- (d) This being the first year of this report, no previous reports data available to comment/report.

For G.V and Associates

G. Vasudevan  
FCS No.:6699  
C P No.:6522

Date: 24.05.2019  
Place: Coimbatore

**G.VASUDEVAN, B.Com., LL.B., FCS**  
**COMPANY SECRETARY IN PRACTICE**  
**FCS 6699 CP 6522**